



**SECURITIES AND  
FUTURES COMMISSION**  
證券及期貨事務監察委員會

**LICENCE UNDER THE  
SECURITIES AND FUTURES ORDINANCE, CAP. 571**

**牌照《證券及期貨條例》(香港法例第 571 章)**

**Name of corporation**                      **Darkhorse Wealth Management Limited**  
持牌法團                                      黑馬財富管理有限公司

**Central entity no.**                        **BSG906**  
中央編號

**Date of Issue**                              **7 June 2024**  
簽發日期                                      2024 年 6 月 7 日

The above-mentioned person is licensed to carry on the following regulated activities:  
上述法團已獲發牌進行以下受規管活動:

Type 1: Dealing in Securities	第 1 類: 證券交易
Type 4: Advising on Securities	第 4 類: 就證券提供意見
Type 9: Asset Management	第 9 類: 提供資產管理

This licence is granted on the following conditions:  
此牌照須受以下條件規限:

- The licensee shall only provide services to professional investors. The term "professional investor" is as defined in the Securities and Futures Ordinance and its subsidiary legislation.                      持牌人只可向專業投資者提供服務。"專業投資者"的定義在《證券及期貨條例》及其附屬法例的條文內界定。